

# Iteration of complex cubics

This notebook provides some functions to investigate the complex iteration of monic, depressed cubics, i.e. polynomials of the form:

$$f_{a,b}(z) = z^3 - 3a^2 z + b.$$

This function is called *monic* since the coefficient of  $z^3$  is one. It is called *depressed* since the coefficient of  $z^2$  is zero. The constant term and the coefficient of  $z$  are arbitrary. The coefficient of  $z$  is chosen to have the form  $-3a^2$  so that the cubic has the critical points  $\pm a$ .

## Conjugation and the arbitrary cubic

As it turns out, *any* cubic is conjugate to some  $f_{a,b}$ . Thus, we are essentially studying the family of *all* cubics. To see this write down the *arbitrary* cubic in the form


$$g(z) = c_3 z^3 + c_2 z^2 + c_1 z + c_0.$$

We need to find a function  $\varphi(z) = mz + d$  such that  $\varphi(g(z)) = f_{a,b}(\varphi(z))$ . We can set up the equations we need to solve like so:

```
phi[z_] = m * z + d;
f[a_, b_][z_] = z^3 - 3 a^2 * z + b;
g[z_] = c3 * z^3 + c2 * z^2 + c1 * z + c0;
eqs = CoefficientList[phi[g[z]], z] == CoefficientList[f[a, b][phi[z]], z]
{d + c0 m, c1 m, c2 m, c3 m} == {b - 3 a^2 d + d^3, -3 a^2 m + 3 d^2 m, 3 d m^2, m^3}
```

And, now, let's try to solve them

```
sol = Solve[eqs, {a, b, d, m}] // Last
```

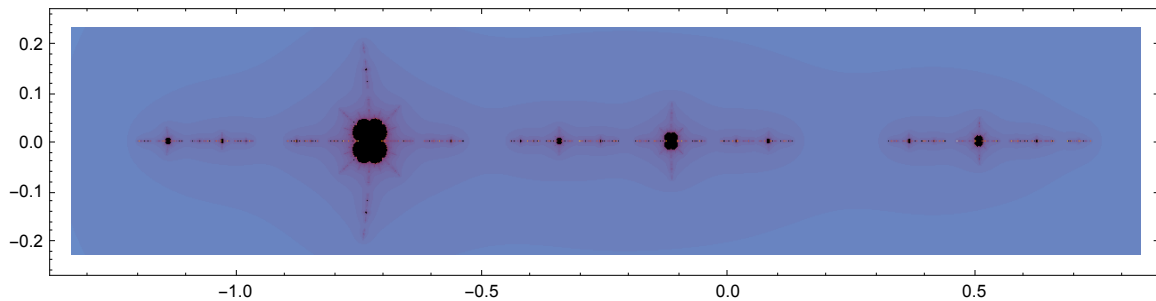
 **Solve:** Equations may not give solutions for all "solve" variables.

$$\left\{ a \rightarrow \frac{\sqrt{c_2^2 - 3 c_1 c_3}}{3 \sqrt{c_3}}, b \rightarrow \frac{2 c_2^3 + 9 c_2 c_3 - 9 c_1 c_2 c_3 + 27 c_0 c_3^2}{27 c_3^{3/2}}, d \rightarrow \frac{c_2}{3 \sqrt{c_3}}, m \rightarrow \sqrt{c_3} \right\}$$

I would interpret this to mean that  $\varphi(z) = \sqrt{c_3} z + c_2 / (3 \sqrt{c_3})$  conjugates  $f_{a,b}$  to  $g$  when  $a$  and  $b$  are given by the solution above. Evidently, the correspondence is not one-to-one, as in the quadratic case for  $z^2 + c$ .

To illustrate, suppose that  $g(z) = 4z^3 + 3z^2 - 2z - 1$ . Here's the Julia set:

```
g[z_] = 4 z^3 + 3 z^2 - 2 z - 1;
JuliaSetPlot[g[z], z, ImageSize -> 600, ImageResolution -> 1200]
```



Thus,  $c_3 = 4$  and  $c_2 = 3$ . If we set

$$\varphi(z) = \sqrt{4} z + \frac{3}{3\sqrt{4}} = 2z + 1/2$$

and compute  $f(z) = \varphi \circ g \circ \varphi^{-1}(z)$ , we should obtain a cubic of the form  $f_{a,b}$  with a geometrically similar Julia set. Let's try!

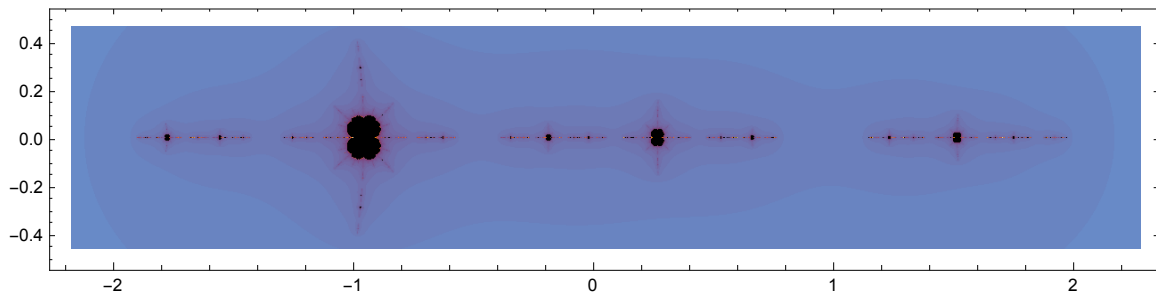
```
phi[z_] = 2 z + 1 / 2;
Phi[z_] = w /. First[Solve[phi[w] == z, w]]
```

$$\frac{1}{4} (-1 + 2 z)$$

```
f[z_] = phi[g[Phi[z]]] // Expand
```

$$-\frac{1}{4} - \frac{11 z}{4} + z^3$$

```
JuliaSetPlot[f[z], z, ImageSize -> 600, ImageResolution -> 1200]
```



## Investigating the parameter space

The initialization cells at the bottom of the notebook defines several functions for investigating the parameter space for  $f_{a,b}$ .

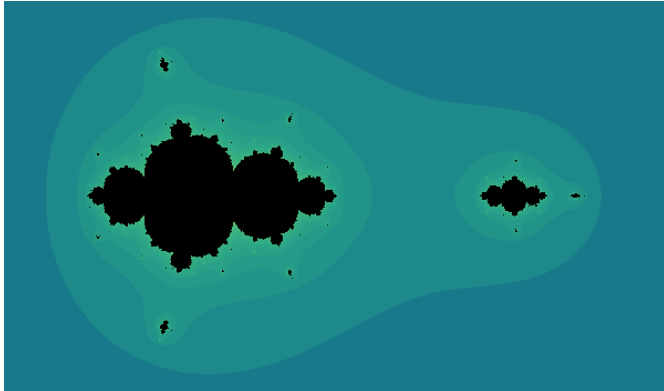
## Julia sets for $f_{a,b}$

The function `cubicJuliaPic` simply generates the Julia set. It should be called like so:

```
cubicJuliaPic[a_?NumericQ, b_?NumericQ, bail_Integer, resolution_Integer]
```

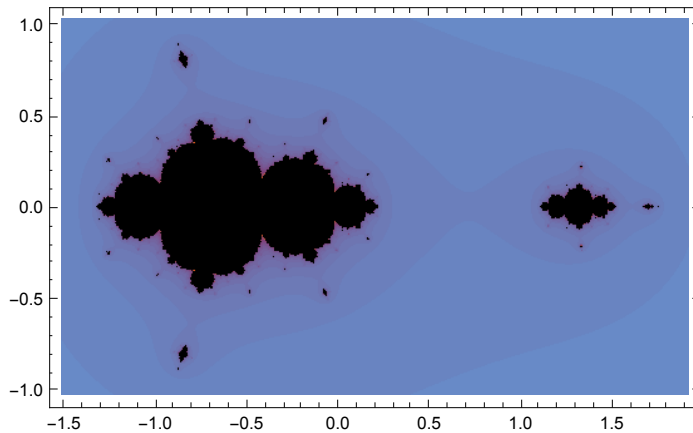
Here's the filled Julia set when  $a = 1/\sqrt{2}$  and  $b = -1$ .

```
cubicJuliaPic[-1 / Sqrt[2], -1, 100, 600]
```



Of course that should generate the Julia set for  $z^3 - 3\left(1/\sqrt{2}\right)^2 z - 1$ .

```
JuliaSetPlot[z^3 - (3 / 2) z - 1, z]
```



There's a dynamic version that accepts the same arguments but includes a locator allowing you to visualize the orbit starting from where the user clicks. You can play with this, if you want:

```
dynamicCubicJuliaPic[-1 / Sqrt[2], -1, 100, 600]
```

## Parameter pics for $f_{a,b}$

Recall that the critical points of  $f_{a,b}(z) = z^3 - 3a^2 z + b$  are  $\pm a$ . To study the parameter space, we should partition the four dimensional space  $\mathbb{C}^2$  into the sets of points where

1.  $a$  and  $-a$  both converge to the same finite orbit (deep purple)
2.  $a$  and  $-a$  both converge but to different finite orbits (green)
3.  $a$  escapes to  $\infty$  but  $-a$  converges to a finite orbit (red)
4.  $-a$  escapes to  $\infty$  but  $a$  converges to a finite orbit (blue)
5.  $a$  and  $-a$  both escape to  $\infty$  (light)

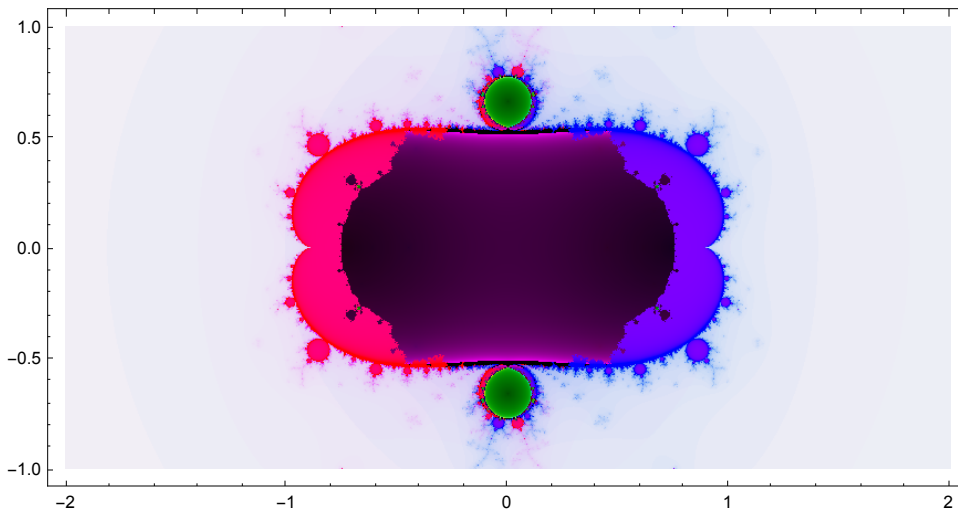
Black means that the classification was unsuccessful.

To visualize this, we can fix one of  $a$  or  $b$  and let the other vary inside a complex rectangle - effectively generating two-dimensional slice of the four dimensional object. The `parameterPic` function defined below does exactly this. It can be called in one of two ways - depending on whether you'd like to fix  $a$  or  $b$ .

```
parameterPic["a", a_?NumericQ, bMin_Complex,
  bMax_Complex, res_Real, graphicsOpts:OptionsPattern[]]
parameterPic["b", b_?NumericQ, aMin_Complex, aMax_Complex,
  res_Real, graphicsOpts:OptionsPattern[]]
```

For example:

```
parameterPic["a", 1/2, -2 - I, 2 + I, 0.005,
  Frame -> True, ImageSize -> 500]
```



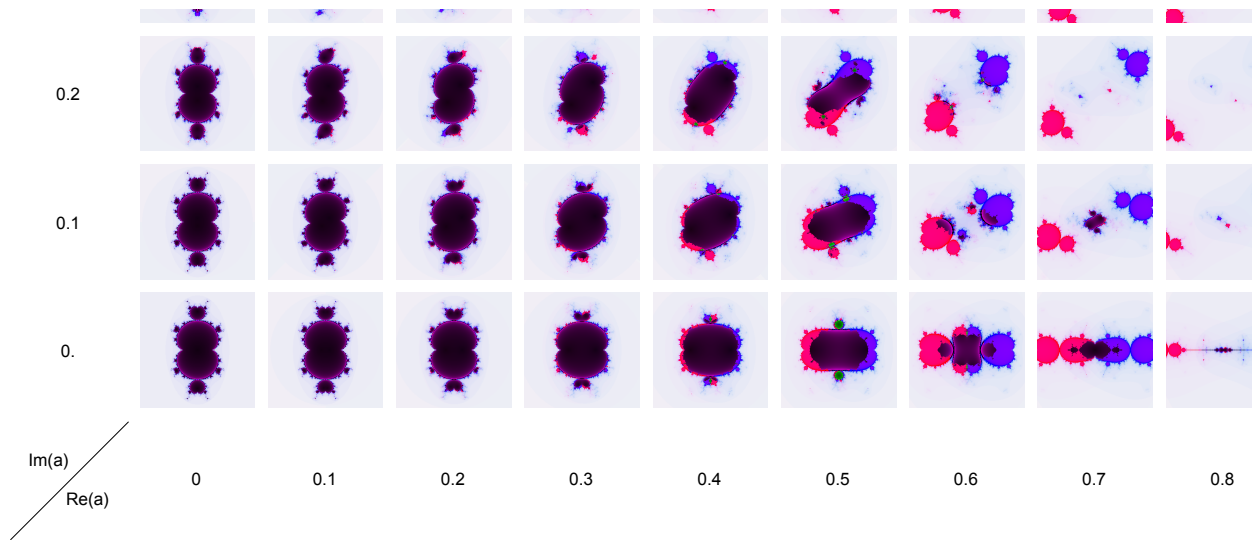
I guess that's the parameter pic for  $f_{1/2,b}(z) = z^3 - \frac{3}{4}z + b$ , where  $b$  lies in the rectangle above. There's also a dynamic version that allows you to explore how Julia sets vary as the free parameter changes.

```
dynamicParameterPic["a", 1/2, -2 - I, 2 + I, 0.005]
```

## The 4D set

Here's are some pictures of grids of slices to, hopefully, illustrate the whole 4D set. These take about a half minute on my machine.





## Initialization